

PART B - FEE(S) TRANSMITTAL

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02/03/2007

DLA PIPER US LLP
153 TOWNSEND STREET
SUITE 800
SAN FRANCISCO, CA 94107-1957

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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

| | |
|-------------------------|--------------------|
| Carissa Hemphill | (Depositor's name) |
| <i>Carissa Hemphill</i> | (Signature) |
| May 4, 2007 | (Date) |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|-----------------------|---------------------|------------------|
| 09/482,682 | 01/14/2000 | Daniel J. Von Seggern | 5410-005-11 | 7337 |

TITLE OF INVENTION: ADENOVIRUS VECTORS, PACKAGING CELL LINES, COMPOSITIONS, AND METHODS FOR PREPARATION AND USE

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO | \$1400 | \$300 | \$0 | \$1700 | 05/07/2007 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|----------|----------|----------------|
| PENG, BO | 1648 | 536-024100 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.563).
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list
 (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. DLA Piper US LLP
 2.
 3.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

The Scripps Research Institute

La Jolla, California

01 FC:1501

1400.00 DA

02 FC:1504

300.00 DA

03 FC:2001

12.00 DA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

☒ Issue Fee
☒ Publication Fee (No small entity discount permitted)
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5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

*Linda R. Judge*Date May 4, 2007

Typed or printed name

Linda R. JudgeRegistration No. 42,702

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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FAX TRANSMISSION COVER SHEET

Date: May 4, 2007

| | | |
|--|--|-------------------|
| To | Telephone | Fax Number |
| Mail Stop: Issue Fee U. S. Patent and Trademark Office | | 571-273-2885 |
| <hr/> | | |
| From: Linda R. Judge 415-836-2586 | Client-Matter Number: | 312552-7 |
| <hr/> | | |
| Re: U.S. Application No.: 09/482,682 | | |
| Entitled: | ADENOVIRUS VECTORS, PACKAGING CELL LINES, COMPOSITIONS, AND METHODS FOR PREPARATION AND USE | |
| Filing Date: | January 14, 2000 | |
| Inventor: | Von Seggern, et al. | |
| Art Unit: | 1648 | |
| Examiner: | To be assigned | |
| Attorney Docket No.: | | |
| <hr/> | | |
| Pages: -3- (including this form) | Originals: will not follow | |

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(Form Rev. 04/09/07)

SANF11370042.1



TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

| | | |
|--|-----------------------------------|--------------------|
| Application No. | 09/482,682 | |
| | Filing Date | January 14, 2000 |
| | First Named Inventor | Von Seggem, et al. |
| | Group Art Unit | 1648 |
| Examiner Name | Peng, Bo. | |
| Total Number of Pages in this Submission: | 2 | |
| Attorney Docket No. | 5410-005-11 CIP (312552-7) | |

ENCLOSURES (check all that apply)

| | | |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavit/Declaration(s) <input checked="" type="checkbox"/> Part B - Fee(s) Transmittal <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Supplemental Information <input type="checkbox"/> Disclosure Statement (IDS) <input type="checkbox"/> Substitute PTO Form 1449 <input type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts Notice/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Combined Revocation and Power of Attorney and 37 C.F.R. §3.73(b) and 3.71 Statements and Request for Change of Attorney Docket Number <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After-Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Additional Enclosure(s): <input type="checkbox"/> Return Receipt Postcard |
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SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

| | | | |
|-----------|--|-----------|--------------|
| Signature | | Date | May 4, 2007 |
| Name | Linda R. Judge | Reg. No. | 42,702 |
| Address | 153 Townsend St., Suite 800, San Francisco, CA 94107 | Telephone | 415-836-2586 |
| | | Facsimile | 415-836-2501 |

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being filed with the United States Patent and Trademark Office by facsimile transmission on the date indicated below to facsimile No. 571-273-8300.

Date: May 4, 2007

Carissa Hemphill

(Form Rev. 04/09/07)

SANF1370037.1